

Addition of Heteroatom Nucleophiles to Ketene Dimers

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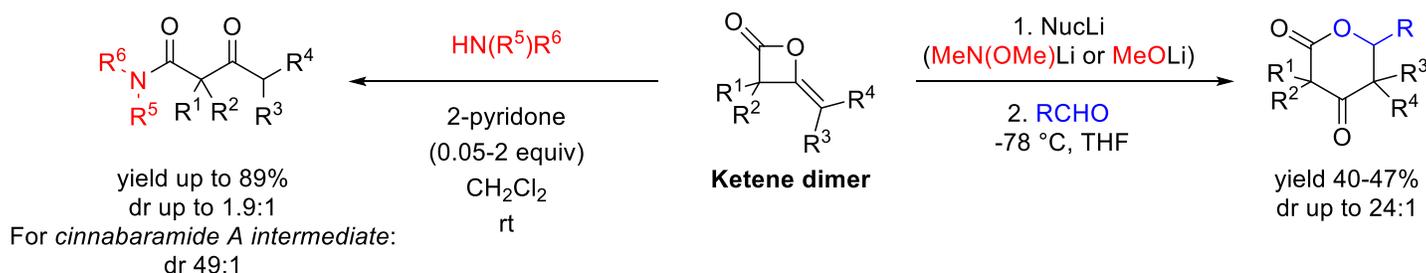
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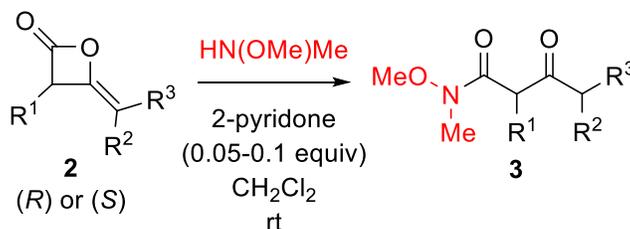
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Abstract

An investigation of the reaction of heteroatom nucleophiles with ketene dimers, with an emphasis on a discussion of diastereoselectivity where applicable, is described. During this study we focused on the reaction of nitrogen-centred nucleophiles (Weinreb amine, lithiated Weinreb amide, and an amino acid derivative), and oxygen-centred nucleophiles (alkoxides). Simple Weinreb amide derivatives of ketene heterodimers were formed in moderate to excellent yield (up to 89%) and excellent retention of chirality (ee up to 91%), albeit with poor diastereoselectivity. The 2-pyridone-catalysed amine ring-opening was also applied to the asymmetric synthesis of a cinnabaramide A intermediate. Finally, the use of amide and alkoxide ring-opening nucleophiles enabled the development of a sequential one-pot reaction with benzaldehyde to afford δ -lactones in moderate yields (up to 47%) but with good diastereoselectivity (dr up to 24:1).



Keywords: Diastereoselective, enantioselective, asymmetric synthesis, ketene dimer, Weinreb amide, δ -lactone.

Table 1. Synthesis of Weinreb amides from ketene/ketene dimers

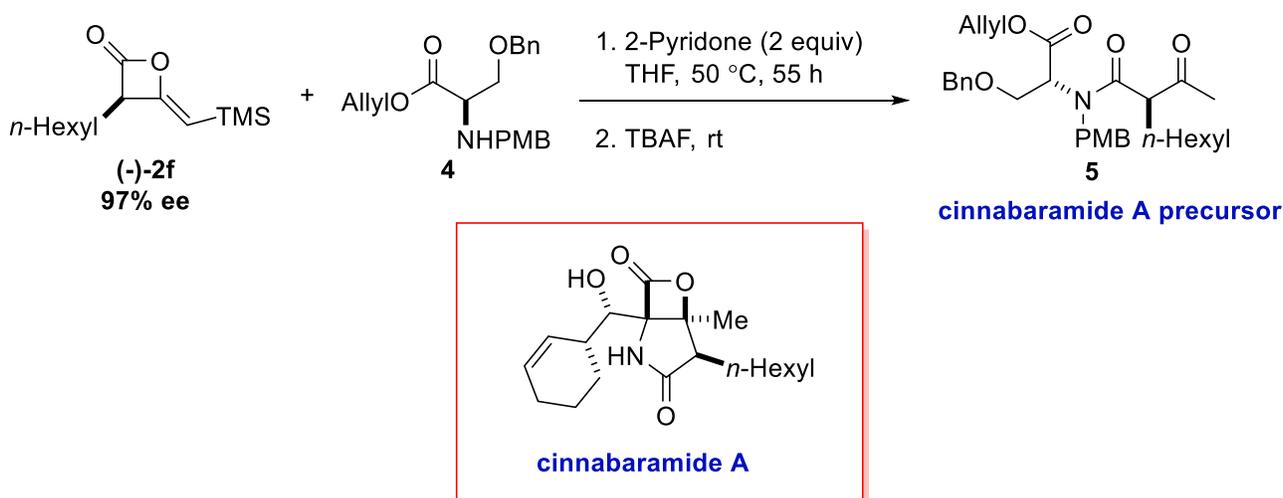
Entry	SM ^a	% ee of 2 ^b	R ¹	R ²	R ³	Product 3	% yield of 3 ^c	dr of 3 ^d	% ee of 3 ^e
1	(<i>R</i>)- 2a	nd	Me	Me	Me	(<i>R</i>)- 3a	79	na	91
2	(<i>S</i>)- 2a	nd	Me	Me	Me	(<i>S</i>)- 3a	89	na	95
3	(<i>R</i>)- 2b	nd	OAc	Me	Me	(<i>R</i>)- 3b	52	na	76
4	(<i>S</i>)- 2b	nd	OAc	Me	Me	(<i>S</i>)- 3b	57	na	91
5	(<i>S</i>)- 2c	98	Me	Me	Ph	3c	84	1.3:1	nd
6	(<i>S</i>)- 2d	99	Me	Et	Ph	3d	67	1.9:1	nd
7	(±)- 2e	na	Me, Ph	Me	Ph	(±)- 3e	72	1.4:1	na

^a SM = starting material (ketene heterodimer or ketoketene homodimer). ^b ee for **2** not determined in most cases as **2** was not isolated but instead was directly converted to **3**. ^c % yield = isolated yield for both diastereomers of **3**. Excepting entries 5-7, yield for two steps from acyl chloride. ^d dr determined by GC-MS or ¹H NMR analysis of crude **3**. ^e % ee determined by chiral HPLC analysis.

The ring-opening conditions utilized in Table 1 were then applied to the synthesis of a cinnabaramide A precursor from a silyl-substituted ketene heterodimer. We determined that heterodimer (–)-**2f**, derived from TMS-ketene and *n*-hexylketene, can be used to access a precursor to cinnabaramide A (Table 2).^{15,16} (–)-**2f** was subjected to reaction with protected serine derivative **4** under 2-pyridone catalysis conditions. A number of variations on the standard reaction conditions of Table 1 were then explored. Ultimately, the reaction proceeded in good yield (82%) and with moderate diastereoselectivity (dr 3:1), after heating (50 °C) with serine derivative **4** (2 equiv) and excess 2-pyridone (2 equiv), and following desilylation with TBAF (Table 2, entry 1). Since enantioenriched reactants were used it was necessary to determine whether erosion of enantiomeric integrity of (–)-**2f** under the reaction conditions was leading to moderate diastereoselectivity. After running the reaction for 55 h, some **2f** was isolated and HPLC analysis was performed on a prepared Weinreb amide derivative **3f**. It was determined that the ee of heterodimer **2f** did not undergo erosion under the reaction conditions. A series of control experiments were then performed to investigate whether any of the reactants were acting as a base and causing epimerization of **5** under the reaction conditions. **5** (dr = 24:1) in THF was heated at 50 °C for 24 h, but after this time there was no change in dr observed. Next a mixture of **5** and **4** in THF was heated at 50 °C for 20.5 h. But again, there was no change in dr observed. Then 2-pyridone (2 equiv) was added to a solution of **4** and **5** in THF, and the reaction mixture was heated at 50 °C for 22 h. However, there still was no observable change in dr. This left the possibility that carbanion generated through desilylation of **2f** was causing epimerization of **5**. To eliminate epimerization, we introduced KF, to aid desilylation of **2f**, along with acetic acid to act as a mild proton source which would help quench the resulting carbanion (Table 2 entries 2-4). In experiments conducted in the presence of acetic acid, diastereoselectivity improved dramatically (Table

2, entries 3 and 4), albeit at the cost of lower conversion to **5** and increased decomposition of **2f**. We surmise that the mild proton source protonates desilylated **2f**, effectively inhibiting it from acting as a base.

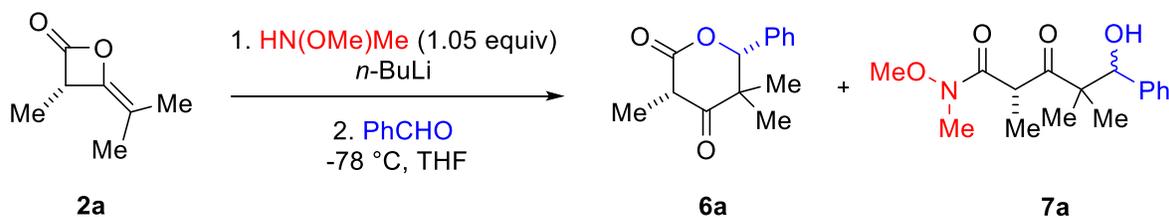
Table 2. Synthesis of cinnabaramide A precursor from ketene heterodimer **2f**



Entry	2f (Equiv)	4 (Equiv)	Additive	% Conv (% yield) of 5 ^a	dr of 5 ^b
1	1	2	None	(82)	3:1
2	1	2	KF	48	4.6:1
3	1	2	KF + AcOH	40 (16)	49:1
4	1	1	KF + AcOH	25	99:1

^a% yield = isolated yield for both diastereomers of **5**. ^b dr determined by ¹H NMR analysis of crude **5**.

Having observed that the 2-pyridone-catalyzed amine ring-opening proceeded effectively, from conversion standpoint, for a number of diversely substituted ketene dimers, we then proceeded to investigate the possibility of sequential reactions of ketene heterodimer-derived lithium enolates with an aldehyde (Table 3).^{28,29} Such a reaction would allow for assembly of complex polyketide derivatives with potentially up to three stereogenic centers, with two being set in the aldol reaction. Lithiated Weinreb amine was utilized as the preferred nucleophile, as Calter's group had success with it in the ring-opening of methylketene homodimer.¹⁰

Table 3. Synthesis of δ -lactone from ketene heterodimers

Entry	[2a]	% Yield ^a 6a	% Yield 7a	dr 6a ^b	dr 7a
1	0.1 M	29	20	24:1	1:1
2	0.2 M	40	-	24:1	-

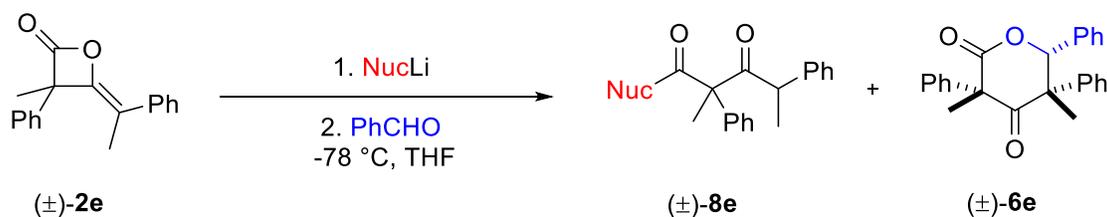
^a % Yield = isolated yield for both diastereomers of **6a**. ^b dr determined by GC-MS and ^1H NMR analysis of crude **6a**.

The ketene heterodimer β -lactone **2a** was converted to a lithium enolate using $\text{LiN}(\text{OMe})\text{Me}$ (prepared by the reaction of N,O -dimethylhydroxylamine with n -butyllithium in 2.5 M hexane) as ring-opening agent. The lithium enolate derived from **2a** was subjected to reaction with benzaldehyde, and surprisingly led to the formation of δ -lactone **6a** (29%, dr = 24:1) along with the acyclic aldol product **7a** (20%, dr = 1:1). When the concentration of the heterodimer in THF was increased to 0.2 M only the δ -lactone was formed in 40% yield and with high diastereoselectivity (dr = 24:1). However, the ee of **6a** was determined to be only 40% (compared to starting ee of 95% for **2a**), which suggested that significant racemization of **2a**, or an enolate intermediate, occurred under the reaction conditions. The reaction of silyl-substituted ketene heterodimers (e.g. **2f**) were also examined under these reaction conditions but led to the formation of a complex mixture rather than the desired acyclic aldol or δ -lactone product.

We then investigated the reaction of methylphenylketene homodimer (\pm)-**2e** with lithiated Weinreb amine and a number of lithiated alkoxides, and a sequential one-pot reaction of the enolate intermediate with various aldehydes (Table 4). Methylphenylketene homodimer (\pm)-**2e** was prepared through the $\text{PBU}_3\text{-LiI}$ -catalyzed homodimerization of pre-prepared methylphenylketene.^{17,18} Disappointingly, ring-opening with lithiated Weinreb amine, and sequential reaction with benzaldehyde was unsuccessful leading to a complex product mixture. Subsequently, optimization studies involving ring-opening with MeOLi were more successful and revealed that the optimal temperature for enolate intermediate formation was ca. $0\text{ }^\circ\text{C}$. Quenching of the enolate at room temperature led to the ketoester (\pm)-**8e** being obtained in quantitative yield and with moderate diastereoselectivity (dr 1.6:1). The low diastereoselectivity in the latter transformation was understandable given the lack of diastereofacial bias possible in protonation of the acyclic enolate intermediate. This contrasted with the results of our study involving ring-opening of ketoketene homodimers with alkylolithiums where good levels of diastereoselectivity were observed (dr up to 9:1) due to quenching of a putative cyclic intermediate.^{21,23} Attempts to carry out a sequential aldol reaction by addition of an aldehyde to the enolate intermediate solution required a considerable amount of optimization. For the sequential one-pot enolate formation-aldol reaction, benzaldehyde was chosen as the test substrate (Table 4). Interestingly, ring-opening with MeOLi , followed by enolate trapping with benzaldehyde led to the formation of product as the δ -lactone (\pm)-**6e** rather than the acyclic ester product derived from straightforward aldol reaction.^{10,13} We surmised that cyclization, with loss of MeO^- , occurred following aldol reaction (Scheme 2). From Table 4, it is clear that MeOLi was the most effective ring-opening nucleophile, with sterically bulky alkoxides ($i\text{-PrOLi}$ and $t\text{-BuOLi}$) failing to provide reasonable levels

of conversion. Increasing the number of equivalents of benzaldehyde beyond *ca.* 1.5 equiv led to less clean reactions and slightly lower yield of the desired δ -lactone (Table 4 entry 5).

Table 4. Optimization of δ -lactone formation from ketene homodimer



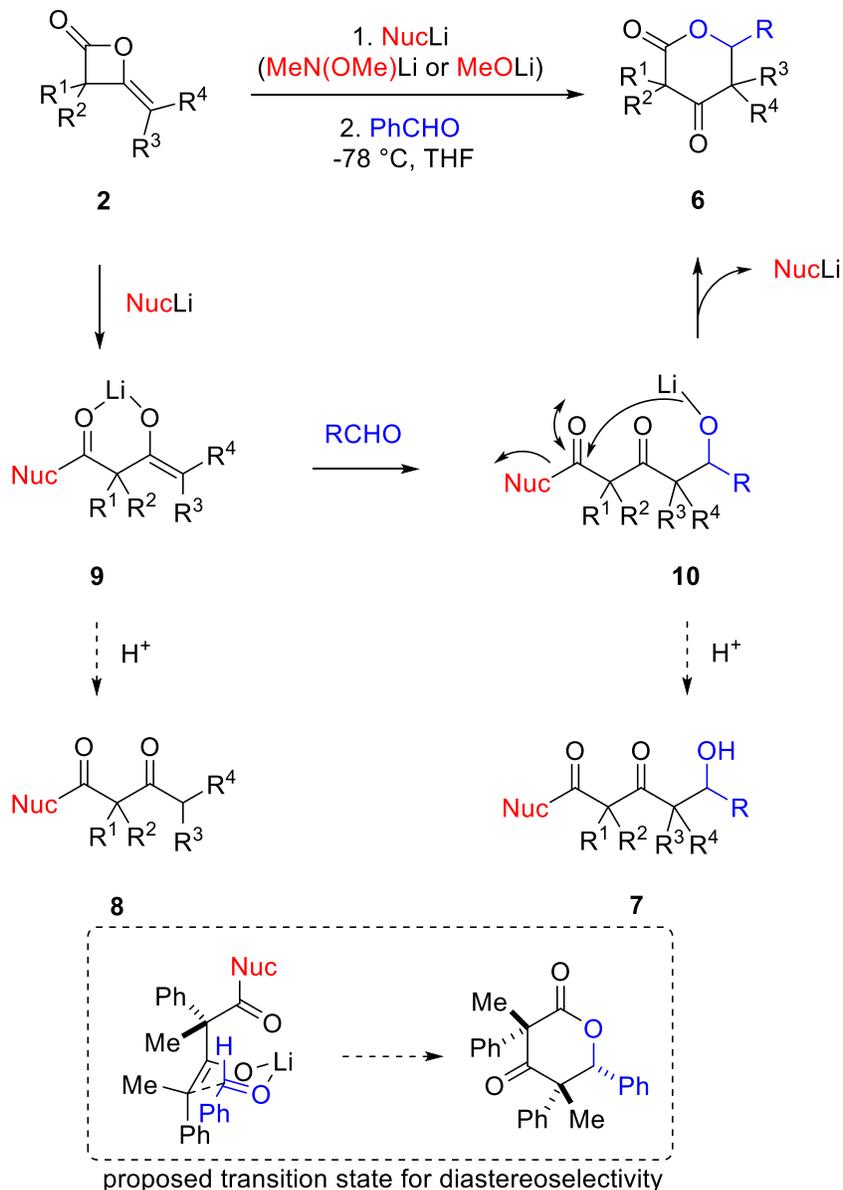
Entry	NuLi ^a	PhCHO (Equiv)	Additive	% Conv ^b 8e (% Yield 8e)	% Conv 6e (% Yield 6e) ^c	dr of 6e ^d
1	MeOLi	0	-	>99 (>99)	-	1.6:1 (8e)
2	MeOLi	1.0	-	nd	71 (26)	9:1
3	MeOLi	1.1	-	nd	63 (43)	9:1
4	MeOLi	1.5	-	nd	68 (47)	9:1
5	MeOLi	2.0	-	29	45 (-)	9:1
6	MeOLi	1.1	(<i>c</i> -Hex) ₂ BCl	6	13 (-)	nd
7	MeOLi	1.0	ZnCl ₂	80	1 (-)	nd
8	<i>i</i> -PrOLi	1.1	-	nd	8 (-)	nd
9	<i>t</i> -BuOLi	1.1	-	nd	1 (-)	nd

^aNuLi = nucleophile. ^bConv = conversion as determined by GC-MS analysis of crude product.

^c% Yield = isolated yield for both diastereomers of **6e**. ^ddr determined by GC-MS analysis of crude product.

Other aldehydes (valeraldehyde and cyclohexanecarboxaldehyde) were also examined under the reaction conditions but provided less impressive results (42% for valeraldehyde-derived δ -lactone **6f**, but with poor dr 4:2:1). Ethylphenylketene homodimer was also exposed to the optimized reaction conditions (with ZnCl₂ as additive) but underwent incomplete conversion to δ -lactone (up to 32% conv) and with poor diastereoselectivity (dr 2:2:2:1).

The proposed mechanism for the δ -lactone forming reaction is shown in Scheme 2. The lithiated nucleophile (Weinreb amide or alkoxide) would add to the carbonyl of ketene dimer **2**. Ring-opening would provide lithium enolate **9** as an intermediate. Enolate **9** would then engage in a diastereoselective aldol reaction with added aldehyde to access aldolate **10**. Diastereoselectivity would be achieved through a closed Zimmerman-Traxler transition state, in which the phenyl substituent at the chiral center of enolate **9e** ($R^1 = \text{Ph}$, from methylphenylketene dimer **2e**) is the large substituent, forcing the aldehyde to react with the π -face of the enolate *anti* to the phenyl substituent. We propose that this transition state assembly would favor formation of the *syn,syn*-diastereomer (Scheme 2), in agreement with the outcome of Calter's results on the aldol reaction of methylketene dimer-derived enolates.^{10,13,28,29} 6-*Exo*-trig cyclisation of aldolate alkoxide **10** onto pendant amide or ester carbonyl would then furnish δ -lactone **6**.



Scheme 2. Proposed mechanism for the formation of δ -lactone **6**.

Conclusions

In conclusion, we report that a range of ketene dimers (heterodimers and ketoketene homodimer) undergo 2-pyridone-catalyzed ring-opening reaction with Weinreb amine to access simple Weinreb amides in moderate to excellent yields and with good enantiomeric excesses. Optimization of the 2-pyridone-catalyzed asymmetric synthesis of a cinnabaramide **A** intermediate was reported. A one-pot transformation of ketene dimers into δ -lactones through a sequential Weinreb amide or alkoxide-mediated ring-opening/aldol reaction/cyclization was also accomplished in moderate yields (40-47%) but with very good diastereoselectivity for select examples.

Experimental Section

General. THF was freshly distilled from benzophenone ketyl radical under nitrogen prior to use, while Hünig's base (diisopropylethylamine) was distilled from calcium hydride and *N,N*-dimethylethylamine was distilled from potassium hydroxide under nitrogen.³⁰ Most anhydrous solvents (CH₂Cl₂ and Et₂O) were obtained by passing through activated alumina columns on a solvent purification system. 2-Pyridone and *O*-benzyl-*D*-serine was purchased from Aldrich Chemical Co. Octanoyl chloride and trimethylsilyl chloride were purchased from Aldrich Chemical Co. and distilled prior to use.³⁰ Iatrobeads (Bioscan, 6RS-8060, 60 μM particle size), and TLC plates (Sorbent Technologies, UV254, 250 μM) were used as received. Methylphenylketene, ethylphenylketene, dimethylketene and TMS-ketene were prepared according to literature procedures.³¹⁻³⁵ TMS-quinine, Me-quinidine and Me-quinine were synthesized according to literature procedure.^{7,36}

NMR spectra were recorded on a Bruker DPX Avance 200 spectrometer (200 MHz for ¹H and 50 MHz for ¹³C) and on a Bruker Biospin AG 400 spectrometer (400 MHz for ¹H and 100 MHz for ¹³C). NMR chemical shifts were reported relative to TMS (0 ppm) for ¹H and to CDCl₃ (77.23 ppm) for ¹³C spectra. High resolution mass spectra were obtained on an Agilent Technologies 6520 Accurate Mass Q-TOF LC-MS instrument at Oakland University (with ESI as the ionization method). Low resolution mass spectra were recorded on a GC/MS Hewlett Packard HP 6890 GC instrument with a 5973 mass selective detector, and using a Restek Rtx-CL Pesticides2 GC column (30 m, 0.25 mm ID). IR spectra were recorded on a Bio Rad FTS-175C spectrometer. Optical rotations were measured on a Rudolph DigiPol 781 TDV automatic polarimeter.

Analytical high performance liquid chromatography (HPLC) was performed using a Daicel Chiralpak AD column (0.46 cm × 25 cm), OD-H column (0.46 cm × 25 cm), or an AS-H column (0.46 cm × 25 cm) (Daicel Chemical Ind., Ltd.) on a Perkin Elmer Flexar instrument attached with diode array detector (deuterium lamp, 190-600 nm) with HPLC-grade isopropanol and hexanes as the eluting solvents. Analytical gas chromatography (GC) was performed using an Astec CHIRALDEX™ B-DM column (30 m × 0.25 mm × 0.12 μm) on a Perkin Elmer Clarus 500 instrument.

Procedure for preparation of (*R*)-*N*-methoxy-*N*,2,4-trimethyl-3-oxopentanamide ((*R*)-3a**).** Dimethylketene (102 mg, 1.46 mmol) in Et₂O (2.4 mL) was added to a solution of Me-quinine (50 mg, 0.15 mmol), and LiClO₄ (155 mg, 1.46 mmol) in CH₂Cl₂dichloromethane (4.4 mL) and Et₂O (1 mL) at –25 °C. Hünig's base (94 mg, 0.73 mmol) was then added. Propionyl chloride (67 mg, 0.73 mmol) in CH₂Cl₂dichloromethane (0.5 mL) was added over 1 h to the above solution, the mixture was stirred for 3 h at –25 °C and then the reaction was quenched by the addition of water (5 mL). The layers were separated and the aqueous layer was washed with CH₂Cl₂dichloromethane (2 × 5 mL). The combined organics were dried over anhydrous Na₂SO₄ and then concentrated to about 10 mL. The solution was diluted with pentane (10 mL) and passed through a plug of neutral silica (10 g), eluting with 50% CH₂Cl₂dichloromethane/pentane (80 mL). The heterodimer product was converted to Weinreb amide derivative (+)-**3a** prior to isolation due to the volatile nature of the heterodimer. The resulting solution (from plug column) was concentrated to about 7 mL and then *N,O*-dimethylhydroxylamine (89 mg, 1.46 mmol) was added, followed by 2-pyridone (4 mg, 0.04 mmol) and the reaction was stirred for 3 h at room temperature. The reaction was quenched by the addition of water (5 mL), the layers were separated and the aqueous layer was extracted with CH₂Cl₂dichloromethane (2 × 5 mL). The combined organics were dried over Na₂SO₄, concentrated and purified by column chromatography over neutral silica to afford (+)-**3a** as a colorless oil (107 mg, 79%); HPLC analysis: 91% ee [Daicel Chiralpak AS-H column; 1 mL/min; solvent system: 2% isopropanol in hexane; retention times: 13.3 min (minor), 16.7 min (major)]; [α]_D²³ = 31.6 (c = 1.85, CH₂Cl₂); IR (thin film) 1713, 1656 cm⁻¹; ¹H NMR (400 MHz, CDCl₃, TMS): δ 3.91 (q, *J* 7.1 Hz, 1H), 3.64 (s, 3H), 3.18 (s, 3H),

2.81-2.71 (m, 1H), 1.30 (d, *J* 7.1 Hz, 3H), 1.09 (d, *J* 6.8 Hz, 3H), 1.07 (d, *J* 6.8 Hz, 3H); ¹³C NMR (100 MHz, CDCl₃): δ 210.5, 172.2, 61.3, 48.7, 39.2, 32.7, 18.9, 18.7, 13.4; (M⁺ + Na) HRMS *m/z* calcd for (C₉H₁₇NO₃)₂Na: 397.2309; found: 397.2305.

(S)-*N*-Methoxy-*N*,2,4-trimethyl-3-oxopentanamide ((S)-3a). Dimethylketene (204 mg, 2.91 mmol) in Et₂O (4.8 mL) was added to a solution of Me-quinidine (98 mg, 0.29 mmol), and LiClO₄ (155 mg, 1.46 mmol) in CH₂Cl₂ (8.8 mL) and Et₂O (2 mL) at –25 °C, and then Hünig's base (188 mg, 1.46 mmol) was added. Propionyl chloride (135 mg, 1.46 mmol) in CH₂Cl₂ (1 mL) was added over 1 h to the above solution, and the mixture was stirred for 3 h at –25 °C. The reaction was quenched by the addition of water (5 mL), the layers were separated and the aqueous layer was extracted with CH₂Cl₂ (2 × 5 mL). The combined organics were dried over anhydrous Na₂SO₄, and the solution was then concentrated to about 15 mL before being diluted with pentane (15 mL) and passed through a plug of neutral silica (18 g), eluting with 50% CH₂Cl₂/pentane (180 mL). The heterodimer product was converted to Weinreb amide derivative (–)-**3a** prior to isolation due to the volatile nature of the heterodimer. The solution (after plug column) was concentrated to about 10 mL and then *N,O*-dimethylhydroxylamine (178 mg, 1.46 mmol) was added, followed by 2-pyridone (7 mg, 0.07 mmol) and the reaction was stirred for 3 h at room temperature. The reaction was then quenched by the addition of water (5 mL), the layers were separated and the aqueous layer was extracted with CH₂Cl₂ (2 × 5 mL). The combined organics were dried over Na₂SO₄, the solution was concentrated and purified by column chromatography over neutral silica to afford (–)-**3a** as a colorless oil (244 mg, 89%); HPLC analysis: 95% ee [Daicel Chiralpak AS-H column; 1 mL/min; solvent system: 2% isopropanol in hexane; retention times: 12.7 min (major), 17.3 min (minor)]; [α]_D²³ = –33.4 (c = 1.45, CH₂Cl₂); IR (thin film) 1713, 1656 cm^{–1}; ¹H NMR (400 MHz, CDCl₃, TMS): δ 3.91 (q, *J* 7.1 Hz, 1H), 3.64 (s, 3H), 3.18 (s, 3H), 2.81-2.71 (m, 1H), 1.30 (d, *J* 7.1 Hz, 3H), 1.09 (d, *J* 6.8 Hz, 3H), 1.07 (d, *J* 6.8 Hz, 3H); ¹³C NMR (100 MHz, CDCl₃): δ 210.5, 172.2, 61.3, 48.7, 39.2, 32.7, 18.9, 18.7, 13.4; (M⁺ + Na) HRMS *m/z* calcd for (C₉H₁₇NO₃)₂Na: 397.2309; found: 397.2305.

(R)-1-[Methoxy(methyl)amino]-4-methyl-1,3-dioxopentan-2-yl acetate ((R)-3b). Dimethylketene (57 mg, 0.81 mmol) in THF (1.2 mL) was added to a solution of Me-quinine (27 mg, 0.08 mmol) in CH₂Cl₂ (6 mL) at room temperature, and then Hünig's base (105 mg, 0.81 mmol) was added. Acetoxyacetyl chloride (110 mg, 0.81 mmol) in CH₂Cl₂ (0.9 mL) was added to the above solution at room temperature over 4 h, and in the third hour of the addition the reaction was cooled down to –25 °C. The reaction was stirred for 20 h at –25 °C and was then quenched by the addition of HCl (1 M, 5 mL). The layers were separated and the aqueous layer was extracted with CH₂Cl₂ (2 × 5 mL). The combined organics were washed with saturated sodium bicarbonate solution (5 mL) and then dried over anhydrous Na₂SO₄. The heterodimer product was converted to Weinreb amide derivative (+)-**3b** prior to isolation due to the acid-sensitive nature of the heterodimer. *N,O*-Dimethylhydroxylamine (50 mg, 0.81 mmol) was added to the heterodimer solution, followed by 2-pyridone (4 mg, 0.04 mmol) and the reaction was stirred for 3 h at room temperature. The reaction was quenched by the addition of water (5 mL). The layers were separated and the aqueous layer was extracted with CH₂Cl₂ (2 × 5 mL). The combined organics were dried over anhydrous Na₂SO₄, concentrated and purified by column chromatography over neutral silica with a gradient elution (EtOAc/hexane 10 to 30%) to afford (+)-**3b** as a colorless oil (98 mg, 52%); HPLC analysis: 76% ee [Daicel Chiralcel OD-H column; 1 mL/min; solvent system: 5% isopropanol in hexane; retention times: 11.7 min (major), 12.9 min (minor)]; [α]_D²³ = 8.6 (c = 2.25, CH₂Cl₂); IR (thin film) 1755, 1727, 1672 cm^{–1}; ¹H NMR (400 MHz, CDCl₃, TMS) δ 6.00 (s, 1H), 3.73 (s, 3H), 3.21 (s, 3H), 2.94-2.84 (m, 1H), 1.11 (d, *J* 6.8 Hz, 3H), 1.09 (d, *J* 6.8 Hz, 3H); ¹³C NMR (100 MHz, CDCl₃): δ 204.7, 169.7, 165.9, 74.5, 61.5, 37.8, 32.5, 20.6, 18.1, 18.1; (M⁺ + Na) HRMS *m/z* calcd for (C₁₀H₁₇NO₅)₂Na: 485.2106; found: 485.2103.

(S)-1-[Methoxy(methyl)amino]-4-methyl-1,3-dioxopentan-2-yl acetate ((S)-3b). Dimethylketene (57 mg, 0.81 mmol) in THF (1.2 mL) was added to a solution of Me-quinidine (27 mg, 0.08 mmol) in CH₂Cl₂ (6 mL) at room

temperature, and Hünig's base (105 mg, 0.81 mmol) was then added. Acetoxyacetyl chloride (110 mg, 0.81 mmol) in CH₂Cl₂ (0.9 mL) was added to the above solution at room temperature over 4 h, and in the third hour of the addition the reaction was cooled down to -25 °C. The reaction was stirred for 20 h at -25 °C and was then quenched by the addition of HCl (1M, 5 mL). The layers were separated and the aqueous layer was extracted with CH₂Cl₂ (2 × 5 mL). The combined organics were washed with saturated sodium bicarbonate solution (5 mL) and then dried over anhydrous Na₂SO₄. The heterodimer product was converted to Weinreb amide derivative (-)-**3b** prior to isolation due to the acid-sensitive nature of the heterodimer. *N,O*-Dimethylhydroxylamine (50 mg, 0.81 mmol) was added to the heterodimer solution followed by 2-pyridone (4 mg, 0.04 mmol) and the reaction was stirred for 3 h at room temperature. The reaction was quenched by the addition of water (5 mL). The layers were separated and the aqueous layer was extracted with CH₂Cl₂ (2 × 5 mL). The combined organics were dried over Na₂SO₄, concentrated and purified by column chromatography over neutral silica with a gradient elution (EtOAc/hexane 10 to 30%) to afford (-)-**3b** as a colorless oil (106 mg, 57%); HPLC analysis: 91% ee [Daicel Chiralcel OD-H column; 1 mL/min; solvent system: 5% isopropanol in hexane; retention times: 12.0 min (minor), 12.8 min (major)]; [α]_D²³ = -9.3 (c = 0.81, CH₂Cl₂); IR (thin film) 1755, 1727, 1672 cm⁻¹; ¹H NMR (400 MHz, CDCl₃, TMS) δ 6.00 (s, 1H), 3.73 (s, 3H), 3.21 (s, 3H), 2.94-2.84 (m, 1H), 1.11 (d, *J* 6.8 Hz, 3H), 1.09 (d, *J* 6.8 Hz, 3H); ¹³C NMR (100 MHz, CDCl₃): δ 204.7, 169.7, 165.9, 74.5, 61.5, 37.8, 32.5, 20.6, 18.1, 18.1; (M⁺ + Na) HRMS *m/z* calcd for (C₁₀H₁₇NO₅)₂Na: 485.2106; found: 485.2103.

***N*-Methoxy-*N*,2-dimethyl-3-oxo-4-phenylpentanamide (3c).** To the heterodimer (*S*)-**2c** (35.6 mg, 0.190 mmol) in THF (0.8 mL) was added 2-pyridone (1 mg, 0.01 mmol), followed by the addition of *N,O*-dimethylhydroxylamine (23 mg, 0.38 mmol). The reaction was then stirred for 3 h at room temperature. After 3 h the reaction was quenched by the addition of water (5 mL), the layers were separated and the aqueous layer was extracted with CH₂Cl₂ (2 × 5 mL). The combined organics were dried over Na₂SO₄, and concentrated under reduced pressure. The resulting crude product was purified on a plug of neutral silica (5 g), eluting with a gradient solvent system (EtOAc/hexane 5 to 50%) to afford **3c** as a colorless oil (40 mg, 84%) with dr = 1.3:1 as determined by GC-MS analysis; ¹H NMR (400 MHz, CDCl₃, TMS) for mixture of diastereomers: δ 7.35-7.20 (m, 10H), 3.99-3.93 (m, 2H), 3.83-3.75 (m, 2H), 3.49 (s, 6H), 3.13 (s, 6H), 1.43-1.39 (m, 6H), 1.34 (d, *J* 7.3 Hz, 3H), 1.16 (d, *J* 7.0 Hz, 3H); ¹³C NMR (100 MHz, CDCl₃): δ 206.9, 206.3, 172.2, 171.8, 140.7, 140.3, 129.1, 128.9, 128.5, 128.4, 127.5, 127.4, 61.3, 60.1, 51.8, 50.6, 48.9, 48.7, 32.1, 32.1, 18.5, 18.3, 13.6, 13.6; MS (EI 70 eV): *m/z* 249 (13%), 144 (26%), 105 (100%).

***N*-Methoxy-*N*,2-dimethyl-3-oxo-4-phenylhexanamide (3d).** To the heterodimer (*S*)-**2d** (35 mg, 0.17 mmol) in THF (0.7 mL) was added 2-pyridone (1 mg, 0.01 mmol), followed by the addition of *N,O*-dimethylhydroxylamine (21 mg, 0.35 mmol). The reaction was then stirred for 3 h at room temperature. The reaction was quenched by the addition of water (5 mL), the layers were separated and the aqueous layer was extracted with CH₂Cl₂ (2 × 5 mL). The combined organics were dried over Na₂SO₄, and concentrated under reduced pressure. The resulting crude product was purified on a plug of neutral silica (5 g), eluting with a gradient solvent system (EtOAc/hexane 5 to 30%) to afford **3d** as a colorless oil (30 mg, 67%) with dr = 1.9:1 as determined by ¹H NMR analysis; ¹H NMR (400 MHz, CDCl₃, TMS) for mixture of diastereomers: δ 7.34-7.18 (m, 10H), 3.83-3.69 (m, 2H), 3.49 (s, 6H), 3.10 (s, 6H), 3.07-3.03 (m, 2H), 2.12-2.02 (m, 2H), 1.76-1.65 (m, 2H), 1.35 (d, *J* 7.6 Hz, 3H), 1.17 (d, *J* 7.2 Hz, 3H), 0.83-0.77 (m, 6H); MS (EI 70 eV): *m/z* 263 (24%), 144 (37%), 119 (71%).

2-Methyl-3-oxo-2,4-diphenyl-pentanoic acid methoxy-methyl-amide (3e). Methylphenylketene homodimer **2e** (117.7 mg, 0.45 mmol) was dissolved in CH₂Cl₂ (2.23 mL, 0.2M). After a few minutes the Weinreb amine (65 μ L, 0.89 mmol) and the 2-pyridone (4.2 mg, 0.05 mmol) were added. The reaction was stirred for 4 days until TLC showed complete consumption of reactant and then the reaction was quenched with H₂O (10 mL), the layers were separated, and the aqueous phase was extracted with CH₂Cl₂ (3 × 10 mL), the combined organic

layers were dried over anhydrous Na₂SO₄ and the solvent was removed under reduced pressure. The resulting crude product was purified by flash column chromatography on neutral silica (iatrobeads, 12.5 g) using a gradient of solvents (EtOAc/hexane 5 to 15%) to afford **3e** as a solidifying colorless oil (106 mg, 72%), isolated as an inseparable mixture of diastereomers, with a dr = 1.4:1 as determined by GC-MS analysis; IR (thin film): 1714, 1652, 1180 cm⁻¹; ¹H NMR of the mixture of diastereomers (200 MHz, CDCl₃, TMS): δ 7.31-6.86 (m, 10H), 4.02-3.89 (m, 1H), 3.16 (s, 3H), 3.06 (s, 1H), 3.04 (s, 1H), 2.97 (s, 1H), 1.71 (s, 3H), 1.53 (s, 3H), 1.32 (d, *J* 6.8 Hz, 3H), 1.30 (d, *J* 6.8 Hz, 3H); ¹³C NMR (50 MHz, CDCl₃): δ 207.4, 206.9, 141.7, 141.2, 139.6, 138.6, 128.7, 128.4, 128.3, 128.1, 128.1, 127.8, 127.6, 127.2, 127.0, 126.6, 64.5, 64.2, 59.9, 59.6, 50.1, 49.3, 33.6, 23.1, 21.9, 21.7, 21.5; MS (EI 70 eV): *m/z* 325 (1%), 193 (99%), 162 (45%), 132 (17%), 105 (100%), 77 (16%); (M⁺ + Na) HRMS *m/z* calcd for C₂₀H₂₃NO₃Na: 348.1570; found: 348.1564.

(R,Z)-3-Hexyl-4-[(trimethylsilyl)methylene]oxetan-2-one ((-)-2f). Octanoyl chloride (199.75.1 mg, 1.23 mmol) in CH₂Cl₂ (1.0 mL) and Hünig's base (158.8 mg, 1.23 mmol) in CH₂Cl₂ (1.0 mL) were added over 12 h to a solution of TMS-ketene (280.1 mg, 2.46 mmol) and TMS-quinine (97.4 mg, 0.25 mmol) in CH₂Cl₂ (3.0 mL) at -25 °C. The reaction was stirred at this temperature for 16 h and it was then diluted with pentane (15 mL) and 20% CH₂Cl₂/pentane (15 mL), before being passed through a plug of neutral silica (28 g), eluting with 20% CH₂Cl₂/pentane. The solvent was removed under reduced pressure and (-)-**2f** was isolated as a colorless oil (163.3 mg, 55%); HPLC analysis was performed on the Weinreb amide **3f** derived from **2f**: 97% ee [Daicel Chiralcel OD-H column; 1 mL/min; solvent system: 10% isopropanol in hexane; retention times: 3.4 min (minor), 4.1 min (major)]; [α]_D²³ = -3.36 (*c* = 5.0, CH₂Cl₂); IR (thin film) 1675, 1725, 1878 cm⁻¹; ¹H NMR (400 MHz, CDCl₃, TMS): δ 4.66 (d, *J* 1.2 Hz, 1H), 3.95 (dt, *J* 1.2, 7.2 Hz, 1H), 1.79 (q, *J* 7.6 Hz, 2H), 1.70-1.24 (m, 8H), 0.90 (t, *J* 6.4 Hz, 3H) 0.16 (s, 9H); ¹³C NMR (100 MHz, CDCl₃): δ 170.2, 157.6, 96.8, 56.11, 31.7, 29.1, 27.5, 26.4, 22.7, 14.2, -0.3; (M⁺ + H) HRMS *m/z* calcd for (C₁₃H₂₄O₂Si)H: 241.1618; found: 241.1618.

(R)-O-Benzyl serine allyl ester (4). To a suspension of *O*-benzyl-D-serine (1.19 g, 6.12 mmol) in distilled MeOH (22 mL) was added triethylamine (1.01 mL, 7.96 mmol) and *p*-anisaldehyde (1.25 g, 9.18 mmol) at 23 °C. The resulting suspension was stirred until the solution became homogeneous (~ 30 min). The solution was then cooled to 0 °C, followed by addition of anhydrous MgSO₄ (3.7 g, 30.8 mmol). After 7 h, the MgSO₄ was filtered via fritted funnel and washed with MeOH (40 mL). The combined filtrate was cooled to 0 °C for 15 min and then NaBH₄ (300 mg, 7.96 mmol) was added portionwise. After stirring at 0 °C for 2 h, the solidified reaction mixture was left in a freezer (~ -10 °C) for 12 h. All volatiles were removed under reduced pressure and the remaining solid was resuspended in water (15 mL) and acidified to pH 3 with 2 N HCl. The precipitated colorless solid was filtered via a Büchner funnel, washed with ice-cold water (2 × 10 mL) and ice-cold Et₂O (2 × 10 mL), and dried under vacuum to give *O*-benzyl-*N*-PMB serine as a colorless solid. To *O*-benzyl-*N*-PMB serine (2.04 g, 6.47 mmol) and *p*-TsOH (1.34 g, 7.76 mmol) was added allyl alcohol (6 mL) and toluene (40 mL). The solution was stirred at reflux (~ 124 °C) over molecular sieves for ~ 8 h. The resulting solution was concentrated, resuspended in 5% aqueous NaHCO₃ (36 mL), and extracted with EtOAc (150 mL). The pH was adjusted to 10.0 (until pH of aqueous solution maintained at 10 after extraction) with 2 M NaOH solution. The organic layer was washed with brine, dried over MgSO₄, and concentrated under reduced pressure. The residue was purified by flash column chromatography (20% EtOAc/hexanes) on silica to give the desired allyl ester **4** (907 mg, 42%) as a yellow oil. [α]_D²³ = + 21.3 (*c* = 1.8, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 7.23-7.32 (m, 8 H), 6.84 (d, *J* 4.8 Hz, 2 H), 5.86-5.93 (m, 1 H), 5.22-5.34 (m, 2 H), 4.64 (d, *J* 2.4 Hz 2 H), 4.63 (d, *J* 2.4 Hz 3 H), 3.83 (d, *J* 12.8 Hz 1 H), 3.71-3.74 (m, 3 H), 3.65-3.64 (m, 3 H), 3.51 (t, *J* 4.8 Hz 1H); ¹³C NMR (400 MHz, CDCl₃) δ 173.1, 159.0, 138.1, 132.2, 132.0, 129.7 (2C), 128.6 (2C), 127.9, 127.8 (2C), 118.7, 114.0 (2C), 73.5, 71.4, 65.7, 60.7, 55.5, 51.6.

(R)-Allyl 2-[(R)-2-acetyl-N-(4-methoxybenzyl)octanamido]-3-(benzyloxy)propanoate (5). (-)-**2f** (26.5 mg, 0.11 mmol), serine derivative **4** (82 mg, 0.22 mmol), 2-pyridone (21 mg, 0.22 mmol), and acetic acid (6.6 mg, 0.11

mmol) were dissolved in THF (0.8 mL) and stirred at room temperature. KF (10.4 mg, 0.11 mmol) was then added and after stirring at room temperature for 15 min the solution then was heated to 50 °C for 55 h. After this time, the reaction was cooled to room temperature and water (5 mL) was added. The layers were separated and the aqueous layer was extracted with CH₂Cl₂ (3 × 5 mL). The combined organic layers were dried over anhydrous Na₂SO₄ and the solvent was removed under reduced pressure. The crude product was purified by column chromatography using gradient elution (EtOAc/hexane, 10 to 15%) to afford **5** as a colorless oil (9.1 mg, 16%) with a dr = 49:1 as determined by ¹H NMR; [α]_D²³ = 25.5 (c = 0.9 mg/mL, CH₂Cl₂); IR (thin film): 1737, 1645, 2165 cm⁻¹; ¹H NMR (400 MHz, CDCl₃, TMS): δ 7.34-7.14 (m, 9H), 6.85 (d, *J* 8.8 Hz, 2H), 5.93-5.83 (m, 1H), 5.33-5.22 (m, 2H), 4.83 (d, *J* 17.2, 1H), 4.66-4.54 (m, 4H), 4.40 (d, *J* 3.2, 2H), 4.04-3.92 (m, 2H), 3.80 (s, 3H), 3.51 (dd, *J* 5.6, 8.0 Hz, 1H), 2.12 (s, 3H), 2.03-2.91 (m, 1H), 1.72-1.65 (m, 1H), 1.35-1.24 (m, 6H), 0.85 (t, *J* 6.8, 3H); ¹³C NMR (50 MHz, CDCl₃): δ 205.3, 170.7, 168.7, 159.4, 137.9, 132.0, 128.9, 128.6, 128.3, 127.9, 127.8, 118.9, 114.3, 73.6, 68.7, 66.2, 59.9, 59.0, 55.5, 51.8, 31.7, 29.8, 29.2, 27.7, 27.1, 22.8, 14.2; (M⁺ + H) HRMS *m/z* calcd for (C₃₁H₄₁NO₆)H: 524.3007; found: 524.3003.

A procedure which provides **5** in 82% yield but with moderate dr of 3:1 was previously reported by our group.²⁰

3,5,5-Trimethyl-6-phenyldihydro-2H-pyran-2,4(3H)-dione (6a). 2-Bromo-2-methylpropionyl bromide (372 mg, 1.60 mmol) was added to zinc dust (280 mg, 4.30 mmol) in Et₂O (2.4 mL) at -78 °C, stirred for 10 min at -78 °C, and then was warmed up to 0 °C and was stirred for 20 min at 0 °C. The ketene and ether were then vacuum transferred to the collection flask dipped in liquid nitrogen. The frozen solution was melted at -78 °C to afford a yellow solution of ketene. The above obtained dimethylketene (102 mg, 1.46 mmol) in Et₂O (2.4 mL) was added to a solution of Me-quinidine (50 mg, 0.15 mmol), and LiClO₄ (155 mg, 1.46 mmol) in CH₂Cl₂ (4.4 mL) and Et₂O (1 mL) at -25 °C. Hünig's base (94 mg, 0.73 mmol) was then added. Propionyl chloride (67 mg, 0.73 mmol) in CH₂Cl₂ (0.5 mL) was added over 1 h to the above solution, the mixture was stirred for 3 h at -25 °C and then the reaction was quenched by the addition of water (5 mL). The layers were separated and the aqueous layer was washed with CH₂Cl₂ (3 × 5 mL). The combined organics were dried over anhydrous Na₂SO₄ and then concentrated under reduced pressure to about 10 mL. The solution was diluted with pentane (10 mL) and passed through a plug of neutral silica (10 g), eluting with 50% CH₂Cl₂/pentane (80 mL). The solvent was removed under reduced pressure to obtain the crude heterodimer (*S*)-**2a** (89 mg, 97%). To a solution of *N,O*-dimethylhydroxylamine (32 μ L, 0.43 mmol) in THF (2.1 mL) at -78 °C was added dropwise *n*-BuLi (2.5 M in hexanes, 1.17 mL, 0.41 mmol), and the solution was stirred for 30 min. After 30 min, this solution was added to crude heterodimer (*S*)-**2a** (52.2 mg, 0.410 mmol) in THF at -78 °C. The reaction mixture was stirred for another 30 min at -78 °C and then benzaldehyde (127 μ L, 1.31 mmol) was added neat. After 40 min of stirring at -78 °C, the reaction flask was allowed to warm up for 30 min at room temperature. The reaction was quenched by the addition of NH₄Cl (5 mL). The aqueous phase was extracted with CH₂Cl₂ (3 × 5 mL), and the combined organic layers were dried over anhydrous Na₂SO₄ and filtered. The solvent was removed under reduced pressure. The resulting crude product was purified on a plug of neutral silica (iatrobeads), eluting with a gradient solvent system (EtOAc/hexane, 5 to 50%). The solvent was removed under reduced pressure to afford δ -lactone **6a** (38 mg, 40%) as a colorless solid with dr = 24:1 as determined by ¹H NMR analysis; GC analysis: 40% ee [Supelco ChiralDex B-DM column; GC Conditions: Split ratio: 20; make up flow: 25 mL/min; H₂ flow: 45 mL/min; air flow: 450 mL/min; injector temperature: 250 °C, pressure: 15 psi; oven temperature: 80 °C; detector temperature: 250 °C; retention times: 22.6 min (major), 24.0 min (minor)]; ¹H NMR (400 MHz, CDCl₃, TMS): δ 7.41-7.26 (m, 5H), 5.56 (s, 1H), 3.79 (q, *J* 6.6 Hz, 1H), 1.43 (d, *J* 6.6 Hz, 3H), 1.10 (s, 3H), 0.94 (s, 3H); ¹³C NMR (100 MHz, CDCl₃): δ 207.2, 169.7, 133.6, 129.1, 128.3, 127.9, 83.5, 49.3, 48.0, 21.6, 18.4, 8.5; MS (EI 70 eV): *m/z* 232 (2%), 126 (49%), 70 (100%).

3,5-Dimethyl-3,5,6-triphenyldihydro-2H-pyran-2,4(3H)-dione (6e). MeOH (23 μ L, 0.56 mmol) was dissolved in THF (3.0 mL) and cooled to 0 °C. *n*-BuLi (2.5 M in hexanes, 224 μ L, 0.56 mmol), was then slowly added to the stirred solution of MeOH in THF at 0 °C. After the addition was complete, the solution was stirred for a further 15 min at 0 °C to ensure generation of MeOLi. Methylphenylketene homodimer **2e** (148 mg, 0.56 mmol) was dissolved in THF (1.5 mL), and added slowly to the MeOLi solution at 0 °C. The reaction solution was stirred for a further 45 min at 0 °C, before the reaction was allowed to warm to room temperature and stirred for a further 2 h at room temperature. The reaction solution was then cooled to –78 °C and benzaldehyde (85 μ L, 0.84 mmol, 1.5 equiv) was added to the solution. The reaction was then allowed to warm to room temperature and stirred for a further 5 h at room temperature. After this time, the reaction was diluted with CH₂Cl₂ (10 mL), and quenched by addition of saturated ammonium chloride solution (10 mL). The layers were separated, the aqueous layer was extracted with CH₂Cl₂ (3 \times 10 mL), and the combined organics were dried over anhydrous Na₂SO₄. The solvent was removed in vacuo to provide the crude product (182 mg, 88%) for GC-MS and ¹H NMR analysis. The resulting crude product was purified by flash column chromatography on neutral silica (iatrobeads, 18 g), using a gradient solvent system (from hexane to 1-5% EtOAc/hexane). The solvent was removed under reduced pressure to provide the desired product **6e** (97 mg, 47%) as an oil with a dr = 9:1 as determined by GC-MS analysis; ¹H NMR (200 MHz, CDCl₃, TMS): δ 7.41-6.99 (m, 10H), 6.60-6.56 (m, 5H), 5.61 (s, 1H), 1.73 (s, 3H), 1.36 (s, 3H); MS (EI 70 eV): *m/z* 370 (1%), 264 (33%), 132 (100%); (M⁺ + Na) HRMS *m/z* calcd for C₂₅H₂₂O₃Na: 393.1461; found: 393.1456.

2-Methyl-3-oxo-2,4-diphenyl-pentanoic acid methyl ester (8e). 0.2 ml of *n*-BuLi (2.5 M in hexane) was added to a stirred solution of MeOH (2.06 mmol, 83 μ L) in THF (1.85 mL) at 0 °C and stirred for 10 min. Methylphenylketene homodimer **2e** (136.0 mg, 0.51 mmol) was dissolved in THF (5 mL) and the solution was now added dropwise to the solution of MeOLi at 0 °C. The reaction was stirred for 85 min until TLC showed complete consumption of reactant. Then the reaction was quenched with HCl (1 M, 10 mL) and the layers were separated. The aqueous layer was extracted with CH₂Cl₂ (3 \times 10 mL), and the combined organics were dried over Na₂SO₄. The solvent was removed under reduced pressure. The resulting product **8e** was obtained quantitatively as a colorless oil, isolated as an inseparable mixture of diastereomers, with a dr = 1.6:1 as determined by GC-MS analysis. IR (thin film): 1716, 1251, 1208 cm⁻¹; ¹H NMR of the mixture of diastereomers (200 MHz, CDCl₃, TMS): δ 7.26-6.99 (m, 8H), 6.83-6.78 (m, 2H), 3.95 (q, *J* 7.0 Hz, 1H), 3.85 (q, *J* 6.8 Hz, 1H), 3.71 (s, 3H), 3.42 (s, 3H), 1.66 (s, 3H), 1.61 (s, 3H), 1.31 (d, *J* 7.0 Hz, 3H), 1.24 (d, *J* 7.0 Hz, 3H); ¹³C NMR for the mixture of diastereomers (50 MHz, CDCl₃): δ 208.2, 207.5, 172.9, 172.3, 141.4, 138.3, 137.5, 128.6, 128.5, 128.1, 128.0, 128.0, 127.5, 127.0, 126.7, 65.5, 65.4, 52.7, 52.5, 49.7, 49.5, 29.9, 22.4, 22.2, 21.9, 21.5; MS (EI 70 eV): *m/z* 296 (1%), 164 (37%), 132 (10%), 105 (100%), 77 (11%); (M⁺ + Na) HRMS *m/z* calcd for C₁₉H₂₀O₃Na: 319.1305; found: 319.1298.

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Supplementary Material

Electronic Supplementary Information available: spectra for amides **3a-3e** and **5**, and δ -lactones **6a** and **6e**.

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